

# **INFORMATION DISCLOSURE STATEMENT**

(Use several sheets if necessary)



COPY OF PAPERS  
CENTRALLY FILED

**Docket Number (Optional)**

1417 Y P 553

**Application Number**

09/917,136

**Applicant(s)**

Sherwin Shang, Tahua Yang, Michael T.K. Ling, Craig Sandford, Lee Woo, Jean-Claude Bonte, Michael Masterson, and James J. Peterson

**Filing Date**

July 27, 2001

**Group Art Unit**

~~3702~~ 1711

## **U.S. PATENT DOCUMENTS\***

EXAMINER'S INITIALS	DOCUMENT NUMBER	DATE	NAME	FILED DATE (IF APPROPRIATE)
	5,879,768	03/09/99	Falla et al.	
	5,863,986	01/26/99	Herrmann-Schönherr et al.	
	5,854,349	12/29/98	Abe et al.	
	5,795,945	08/18/98	Natori	
	5,792,824	08/11/98	Natori	
	5,783,638	07/21/98	Lai et al.	
	5,445,893	08/29/95	Mueller et al.	
	5,387,645	02/07/95	Montag et al.	
	5,378,800	01/03/95	Mok et al.	
	5,378,543	01/03/95	Murata et al.	
	5,371,141	12/06/94	Gelles et al.	
	5,356,676	10/18/94	Widdern et al.	
	5,348,794	09/20/94	Takahashi et al.	
	5,342,886	08/30/94	Glottin et al.	
	5,317,059	05/31/94	Chundury et al.	
	5,312,867	05/17/94	Mitsuno et al.	
	5,306,542	04/26/94	Bayer	
	5,290,856	03/01/94	Okamoto et al.	
	5,288,799	02/22/94	Schmid et al.	
	5,278,231	01/11/94	Chundury	
	5,272,236	12/21/93	Lai et al.	
	5,258,230	11/02/93	LaFleur et al.	

Teffa Mills 2-3

**INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

Serial No. 09/917,136

Baxter Docket No. DI-5596A

Attorney Docket No. 1417Y P 553


 COPY OF PAPERS  
ORIGINALLY FILED

SHEET 2 OF 7

EXAMINER'S INITIALS	DOCUMENT NUMBER	DATE	NAME	FILED DATE (IF APPROPRIATE)
	5,244,971	09/14/93	Dekoninck	
	5,238,997	08/24/93	Bauer et al.	
	5,230,935	07/27/93	Delimoy et al.	
	5,230,934	07/27/93	Sakano et al.	
	5,218,049	06/08/93	Yamamoto et al.	
	5,218,048	06/08/93	Abe et al.	
	5,212,238	05/18/93	Schelbelhoffer et al.	
	5,206,290	04/27/93	Mizuno et al.	
	5,196,254	03/23/93	Akiyama	
	5,194,316	03/16/93	Horner et al.	
	5,189,091	02/23/93	Laughner	
	5,185,189	02/09/93	Stenger et al.	
	5,183,706	02/02/93	Bekele	
	5,176,956	01/05/93	Jevne et al.	
	5,176,634	01/05/93	Smith et al.	
	5,164,267	11/17/92	D'Heur et al.	
	5,159,004	10/27/92	Furuta et al.	
	5,154,979	10/13/92	Kerschbaumer et al.	
	5,145,731	09/08/92	Lund et al.	
	5,132,363	07/21/92	Furuta et al.	
	5,129,894	07/14/92	Sommermeier et al.	
	5,116,906	05/26/92	Mizuno et al.	
	5,110,642	05/05/92	Genske	
	5,108,844	04/28/92	Blemberg et al.	
	5,094,921	03/10/92	Itamura et al.	
	5,093,194	03/03/92	Touhsaent et al.	
	5,093,164	03/03/92	Bauer et al.	
	5,085,649	02/04/92	Flynn	

5288 12/1/93 2-63

**INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

Serial No. 09/917,136

Baxter Docket No. DI-5596A

Attorney Docket No. 1417Y P 553

SHEET 3 OF 7


 COPY OF PAPERS  
ORIGINALLY FILED

EXAMINER'S INITIALS	DOCUMENT NUMBER	DATE	NAME	FILING DATE (IF APPROPRIATE)
	5,079,295	01/07/92	Furuta et al.	
	5,075,376	12/24/91	Furuta et al.	
	5,071,912	12/10/91	Furuta et al.	
	5,071,911	12/10/91	Furuta et al.	
	5,071,686	12/10/91	Genske et al.	
	5,066,290	11/19/91	Measells et al.	
	5,055,328	08/08/91	Evert et al.	
	5,053,457	10/01/91	Lee	
	5,034,458	07/23/91	Serini et al.	
	5,034,457	07/23/91	Serini et al.	
	5,017,652	05/21/91	Abe et al.	
	5,006,601	04/09/91	Lutz et al.	
	4,996,054	02/26/91	Pietsch et al.	
	4,977,213	12/11/90	Giroud-Abel et al.	
	4,966,795	10/30/90	Genske et al.	
	4,957,967	09/18/90	Mizuno et al.	
	4,957,966	09/18/90	Nishio et al.	
	4,929,479	05/29/90	Shishido et al.	
	4,923,470	05/08/90	Dumican	
	4,910,085	03/20/90	Gogolewski et al.	
	4,892,604	01/09/90	Measells et al.	
	4,885,119	12/05/89	Mueller et al.	
	4,877,682	10/31/89	Raniere et al.	
	4,873,287	10/10/89	Sauers et al.	
	4,871,799	10/03/89	Kobayashi et al.	
	4,863,996	09/05/89	Nakazima et al.	
	4,856,260	08/15/89	Woo et al.	
	4,856,259	08/15/89	Woo et al.	

 RECEIVED  
FEB-26-2002  
TC 3700 MAIL ROOM

 RECEIVED  
MAR-2-2002  
TC 3700 MAIL ROOM

5088 Mullis 2003

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Serial No. 09/917,136  
Baxter Docket No. DI-5596A  
Attorney Docket No. 1417Y P 553



COMPACT DISCS  
ORIGINAL FILED

SHEET 4 OF 7

EXAMINER'S INITIALS	DOCUMENT NUMBER	DATE	NAME	FILING DATE (IF APPROPRIATE)
	4,855,356	08/08/89	Holub, et al.	
	4,834,755	05/30/89	Silvestrini et al.	
	4,803,102	02/07/89	Raniere et al.	
	4,800,129	01/24/89	Deak	
	4,795,782	01/03/89	Lutz et al.	
	4,792,488	12/20/88	Schirmer	
	4,778,697	10/18/88	Genske et al.	
	4,772,497	09/20/88	Maasola	
	4,767,651	08/30/88	Starzewski et al.	
	4,764,404	08/16/88	Genske et al.	
	4,760,114	07/26/88	Haaf et al.	
	4,753,222	06/28/88	Morishita	
	4,740,582	04/26/88	Coquard et al.	
	4,735,855	04/05/88	Wofford et al.	
	4,734,327	03/29/88	Vicik	
	4,732,795	03/22/88	Ohya et al.	
	4,726,997	02/23/88	Mueller et al.	
	4,724,176	02/09/88	Sun	
	4,724,028	02/09/88	Zabielski et al.	
	4,707,389	11/17/87	Ward	
	4,692,361	09/08/87	Johnson et al.	
	4,686,125	08/11/87	Johnston et al.	
	4,643,926	02/17/87	Mueller	
	4,640,870	02/03/87	Akazawa et al.	
	4,636,412	01/13/87	Field	
	4,627,844	12/09/86	Schmitt	
	4,599,276	07/08/86	Martini	
	4,588,648	05/13/86	Krueger et al.	

RECEIVED  
FEB 26 2002  
TC 3700 MAIL ROOM

RECEIVED  
APR 2 2002  
TC 3700 MAIL ROOM

Jeff Mills 203

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Serial No. 09/917,136  
Baxter Docket No. DI-5596A  
Attorney Docket No. 1417Y P 553



COPY OF PAPERS  
ORIGINALLY FILED

SHEET 5 OF 7

EXAMINER'S INITIALS	DOCUMENT NUMBER	DATE	NAME	FILING DATE (IF APPROPRIATE)
	4,568,723	02/04/86	Pang-Chia Lu	
	4,562,118	12/31/85	Maruhashi et al.	
	4,548,348	10/22/85	Clements	
	4,521,437	06/04/85	Storms	
	4,479,989	10/30/84	Mahal	
	4,465,487	08/14/84	Nakamura et al.	
	4,453,940	06/12/84	Aoyagi et al.	
	4,429,076	01/31/84	Saito et al.	
	4,417,753	11/29/83	Bacebowski et al.	
	4,407,888	10/04/83	Crofts	
	4,407,877	10/04/83	Rasmussen	
	4,405,667	09/20/83	Christensen et al.	
	4,401,536	08/30/83	Lundell et al.	
	4,387,184	06/07/83	Coquard et al.	
	4,332,655	06/01/82	Berejka	
	4,327,726	05/04/82	Kwong	
	4,322,480	03/30/82	Tuller et al.	
	4,322,465	03/30/82	Webster	
	4,311,807	01/19/82	McCullough et al.	
	4,233,367	11/11/80	Ticknor et al.	
	4,230,830	10/28/80	Tanny et al.	
	4,147,827	04/03/79	Breidt, Jr. et al.	
	4,095,012	06/13/78	Schirmer	
	4,087,588	05/02/78	Shida et al.	
	4,087,587	05/02/78	Shida et al.	
	4,058,647	11/15/77	Inoue et al.	
	4,041,103	08/09/77	Davison et al.	
	3,995,084	11/30/76	Berger et al.	

RECEIVED  
FEB 26 2002  
TC 8100 MAIL ROOM

RECEIVED  
APR - 2 2002  
TC 8700 MAIL ROOM

for review 203

**INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

Serial No. 09/917,136

Baxter Docket No. DI-5596A

Attorney Docket No. 1417Y P 553

SHEET 6 OF 7


 CORRESPONDING  
ORIGINAL FILED

EXAMINER'S INITIALS	DOCUMENT NUMBER	DATE	NAME	FILING DATE OF APPROPRIATE RECEIVED
	3,937,758	02/10/76	Castagna	FEB 26 2002 TO 3700 MAIL ROOM
	3,912,843	10/14/75	Brazier	
	3,772,136	11/13/73	Workman	
	3,375,300	03/26/68	Ropp	
	3,255,923	06/14/55	Soto	
	2,705,223	03/29/55	Renfrew et al.	

**FOREIGN PATENT DOCUMENTS\***

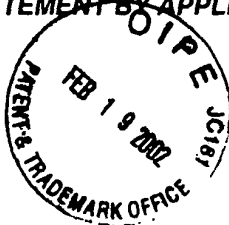
EXAMINER'S INITIALS	DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION (YES/NO)
	1,125,229		Canada	TO 3700 MAIL ROOM APR - 2 2002 RECEIVED
	EP 0 291,208	11/17/88	European Patent Office	
	EP 0 283,164		European Patent Office	
	EP 0 497,567	8/5/92	European Patent Office	
	Publication No. 92,897	02/22/83	European Patent Office	
	Publication No. 446505 A1	Published 06/24/93	European Patent Office	
	DE 41 42 271 A1	06/24/93	Germany	
	WO 93 23,093	11/25/93	International (PCT)	
	International Publication No. WO83/00158	01/20/83	PCT	
	Publication No. 2,688,511	09/17/93	France	
	International Publication No. WO 86/07010	12/04/86	PCT	
	GB 2 177 974A	02/04/87	U.K.	

5/1/98 Mullis 2-03

**INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

SHEET 7 OF 7

Serial No. 09/917,136  
Baxter Docket No. DI-5596A  
Attorney Docket No. 1417Y P 553



COPY OF PAPERS  
ORIGINALLY FILED

<i>st</i>	Publication No. 92,897	02/11/83	European Patent Office	X

**OTHER DOCUMENTS\* (including Author, Title, Date, Pertinent Pages, etc.)**

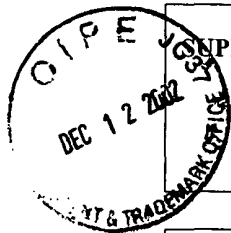

Copies of each of the above references were submitted in the parent application Serial No. 09/526,357, which was filed on March 16, 2000. Pursuant to MPEP § 609.I.A.3, copies of these references are not enclosed.

Examiner: Jeffrey M. Kelly Date Considered: 2-6-02

Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

RECEIVED  
FEB 26 2002  
TC 3700 MAIL ROOM

RECEIVED  
APR - 2 2002  
TC 3700 MAIL ROOM



<b>SUPPLEMENTAL INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b> (Use several sheets if necessary)  PTO Form 1449	Atty Docket No. DI-5596A	Application No. 09/917,136
	Applicant Shang et al.	
	Filing Date July 27, 2001	Group 1711

U.S. PATENT DOCUMENTS						
Examiner's Initials	Document Number	Publication Date	Inventor	Class	Subclass	Filing Date If Appropriate
	4,401,536	08-30-83	Lundell et al.			
	5,272,210	12-21-93	Galante			

FOREIGN PATENT DOCUMENTS							
Examiner's Initials	Document Number	Publication Date	Country	Class	Subclass	Translation	
						Yes	No
[Signature]	0 468 768 A1	01-29-92	Europe	[X]			
	0 636 473 A1	02-01-95	Europe				
	0 634 270 A1	01-18-95	Europe				
	WO 95/13918	05-26-95	PCT				
	JP 09/131837	05-20-97	Japan				
	WO 97/22655	06-26-97	PCT				
	WO 01/18112 A2	05-15-02	PCT				

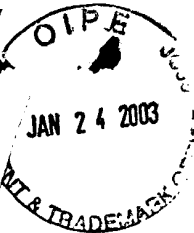
RECEIVED  
DEC 13 2002  
TC 1700

Examiner's Initials	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)
[Signature]	PCT International Search Report

Examiner: [Signature]	Date Considered: 2-23
*Examiner: Initial if citation considered, whether or not citation is in conformance with PEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	



JAN 24 2003



PTO/SB/08A(10-01)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO				<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)				Application Number	09/917,136
				Filing Date	July 27, 2001
				First Named Inventor	Sherwin Shang, et al
				Art Unit	1711
				Examiner Name	Jeffrey Mullis
Sheet	1	of	1	Attorney Docket Number	DI-5596A

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. 1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code 2 (if known)			
Y		US- 4536549	08-20-1985	HATTORI	1
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			

RECEIVED

TECHNOLOGY CENTER 1700

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. 1	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Country Code 3 - Number 4 - Kind Code 5 (if known)			
Y		JP-2001046473-A	02-20-2001	KEINOSUKE	1

Examiner Signature	Jeffrey Mullis	Date Considered	2-03
-----------------------	----------------	--------------------	------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional).

2 See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP

901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

4 For Japanese patent documents, the

indication of the year of the reign of the Emperor must precede the serial number of the patent document.

5 Kind of document by the

appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.

6 Applicant is to place a check mark here if

English language translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case.

Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.